SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			01000		the Investment Company Act of 1	1940				
Bioengine Capital Inc.			2. Date of Event Requiring Statement (Month/Day/Year) 12/21/2018		3. Issuer Name and Ticker or Trading Symbol <u>WINDTREE THERAPEUTICS INC /DE/</u> [WINT]					
(Last) (First) (Middle) 7TH FL., NO.3-2, PARK ST., NANGANG DIST.		4. Relationship of Reporting Pers (Check all applicable) Director X Officer (give title below)			()	er cify 6	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) 			
(Street) TAIPEI CITY F5	75 11503						Form filed by One Reporting Person X Form filed by More than One Reporting Person			
(City) (Si	tate)	(Zip)		n Dorivat	ivo Coqurition Donoficial					
1. Title of Security (Instr. 4)				2	tive Securities Beneficially Owned 2. Amount of Securities Beneficially Owned (Instr. 4)		ct (D) (In	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
COMMON STOCK					3,551,750	D ⁽¹⁾				
		(6			e Securities Beneficially Ints, options, convertible		s)			
1. Title of Derivative Security (Instr. 4) 2. I Ex (Mon Da			2. Date Exerc Expiration D (Month/Day/	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversi or Exerci	ise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivativ Security			
SERIES F WARRANTS			12/24/2018	06/24/2020	COMMON STOCK	384,824	3.68	D ⁽²⁾		
SERIES G WARRANTS			12/24/2018	12/24/2023	COMMON STOCK	747,012	4.05	D ⁽³⁾		
1. Name and Address Bioengine Cap		Person*								
(Last) 7TH FL., NO.3-2	(First) , PARK ST.,	(Midd , NANGANG DI		-						
(Street) TAIPEI CITY	F5	1150	3	-						
(City)	(State)	(Zip)								
1. Name and Address Center Labora										
(Last) 7TH FL., NO.3-2	(First) , PARK ST.,	(Midd , NANGANG DI								
(Street) TAIPEI CITY	F5	1150	3	_						
				1						

1. The shares are directly held by Bioengine Capital Inc. and indirectly held by Center Laboratories, Inc., which owns 58.6% of Bioengine Capital Inc.

2. The exercisable shares of Series F Warrants will be directly held by Bioengine Capital Inc. and indirectly held by Center Laboratories, Inc.

3. The exercisable shares of Series G Warrants will be directly held by Bioengine Capital Inc. and indirectly held by Center Laboratories, Inc.

<u>/s/ Lin, Jung-Chin</u> <u>/s/ Lin, Jung-Chin</u> ** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 5 (b)(v). tin 03/21/2019 porting Person Date

03/21/2019

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.