SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Simonson Steven			2. Date of Even Requiring State Month/Day/Yea 10/28/2014	ment	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>DISCOVERY LABORATORIES INC /DE/</u> [ DSCO ]						
(Last) 2600 KELLY SUITE 100	(First) (Middle) KELLY ROAD E 100					lationship of Reporting Perso ck all applicable) Director Officer (give title below) SVP, Chief Developm	on(s) to Issue 10% Owne Other (spe below)	r cify e	6. Individual of Joint/Group Filing (Check		
(Street) WARRINGTON PA 18976		18976					,		Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)						int of Securities ially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock						20	D				
Common Stock						2,147 <sup>(1)</sup>	I I		By Trust		
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security		y (Instr. 4) Convo or Exc		ise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	n Title		Amount or Number of Shares	Price of Derivativ Security			
Incentive Stock Option (Right to Buy)			05/19/2014 <sup>(2)</sup>	05/19/2024	4	Common Stock	120,000	1.7	D		

Explanation of Responses:

1. Holding amount represents issuer's matching stock contribution pursuant to 401(k) plan.

2. This employee stock option shall vest as follows: 20,000 vested upon option grant and the remaining 100,000 options vest in a series of three successive equal annual installments beginning with the first anniversary of the grant.

## **Remarks:**

Steven Simonson

<u>11/06/2014</u> Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.